

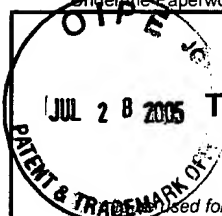
AP / 2154
CPW

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

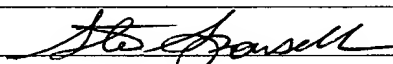
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|  <p>TRANSMITTAL FORM Use for all correspondence after initial filing)</p> | Application Number | 09/875,798 |
| | Filing Date | 6/5/2001 |
| | First Named Inventor | Ashvinkumar J. Sanghvi |
| | Group Art Unit | 2154 |
| | Examiner Name | MOHAMMAD A SIDDIQI |
| Total Number of Pages in This Submission | | Attorney Docket Number |
| | | MS1-693US |

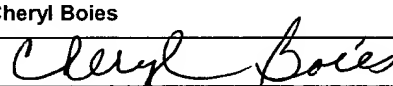
ENCLOSURES (check all that apply)

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|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (<i>please identify below:</i>) <i>Form PTO-1449; reference copies (5); return receipt postcard</i> |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

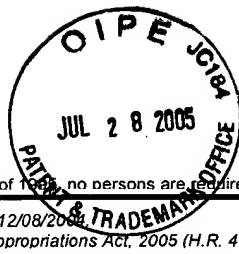
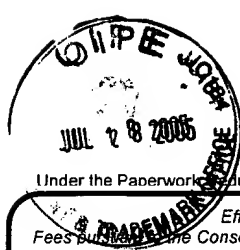
| | | |
|-------------------------|---|--|
| Firm or Individual Name | Steven R. Sponseller/Reg. No. 39384 | |
| Signature |  | |
| Date | 7-26-05 | |

CERTIFICATE OF TRANSMISSION/MAILING

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|---|---|--------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | |
| Typed or printed name | Cheryl Boies | |
| Signature |  | Date 7-26-05 |

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PTO/SB/17 (12-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2005
Fees subject to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**180.00****Complete if Known**

| | |
|----------------------|------------------------|
| Application Number | 09/875,798 |
| Filing Date | 6/5/2001 |
| First Named Inventor | Ashvinkumar J. Sanghvi |
| Examiner Name | MOHAMMAD A SIDDIQI |
| Art Unit | 2154 |
| Attorney Docket No. | MS1 -693US |

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|---|----------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent | 50 | 25 |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200 | 100 |
| Multiple dependent claims | 360 | 180 |

| | | | | | | |
|---|---------------------|-----------------|----------------------|----------------------------------|-----------------|----------------------|
| Total Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | Multiple Dependent Claims | Fee (\$) | Fee Paid (\$) |
| - 20 or HP = | x | 50 | = | | | |
| HP = highest number of total claims paid for, if greater than 20 | | | | | | |
| Indep. Claims | Extra Claims | Fee (\$) | Fee Paid (\$) | | | |
| - 3 or HP = | x | 200 | = | | | |
| HP = highest number of independent claims paid for, if greater than 3 | | | | | | |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| | | | | |
|---------------------|---------------------|---|-----------------|----------------------|
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
| - 100 = | / 50 = | (round up to a whole number) x | | |

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement

Fees Paid (\$)**\$180.00****SUBMITTED BY**

| | | | |
|-------------------|----------------------|--|--------------------------|
| Signature | | Registration No. 39384 (Attorney/Agent) | Telephone (509) 324-9256 |
| Name (Print/Type) | Steven R. Spenseller | | Date 7-26-05 |

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IDSIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.09/875,798
Filing Date6/5/2001
Confirmation No.5525
Inventorship Ashvinkumar J. Sanghvi
Applicant Microsoft Corporation
Group Art Unit2154
Examiner MOHAMMAD A SIDDIQI
Attorney's Docket No. MS1-693US
Title: Method And Apparatus For Event Handling In An Enterprise

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 CFR 1.97(e)

The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, no item of information contained in the accompanying PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or was known to any individual designated in §1.56 (c) more than 3 months prior to the filing of this statement.

Respectfully Submitted,

Date: 7-26-05

By: Steven R. Sponseller
Steven R. Sponseller
Reg. No. 39384

07/28/2005 RFEKADU1 00000040 120769 09875798

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Substitute for form 1449/PTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 2 |
|-------|---|----|---|

Complete if Known

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|------------------------|----------------------|
| Application Number | 09/875,798 |
| Filing Date | 6/5/2001 |
| First Named Inventor | Ashvinkumar J. Sangh |
| Art Unit | 2154 |
| Examiner Name | MOHAMMAD A SIDDIQI |
| Attorney Docket Number | MS1 -693US |

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

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| Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | Complete if Known | |
| | | Application Number | 09/875,798 |
| | | Filing Date | 6/5/2001 |
| | | First Named Inventor | Ashvinkumar J. Sangh |
| | | Art Unit | 2154 |
| | | Examiner Name | MOHAMMAD A SIDDIQI |
| Sheet | 2 | of | 2 |
| | | Attorney Docket Number | MS1 -693US |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | Windows 2000 Active Directory by Alistair G. Lowe-Norris, Published by O'Riely, 1st edition January 2000 | |
| | | Troubleshooting Group Policy in Windows 2000, Published by Microsoft Corporation, published in 2000 | |
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|-------------------------------|--|----------------------------|--|
| Examiner Signature | | Date Considered | |
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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